



April 7, 1999

**VIA EXPRESS MAIL**

Assistant Commissioner for Patents  
Box Non-fee Amendment  
Washington, D.C. 20231



RE: Filing Amendment  
Inventor: Kurt W. Getreuer  
TITLE: METHOD AND APPARATUS FOR MOVING CARRIAGE  
ASSEMBLY FROM INITIAL POSITION TO TARGET POSITION AND  
OPTICAL DISC SYSTEM INCLUDING SAME (as amended)  
• USSN: 08/485,070  
Filed: June 7, 1995  
Atty. Docket No.: 951028(US)USC1X1X1D1 MMMI

Assistant Commissioner for Patents:

Enclosed for filing please find the following:

1. Transmittal Form
2. Amendment Transmittal Letter (in Duplicate)
3. Fee Transmittal (in Duplicate)
4. Amendment (Pages 1-7)
5. Request for a Four-Month Extension of Time (in Duplicate)
6. Supplemental Information Disclosure Statement (in Duplicate)  
Including Form 1449 and References
7. Cover Letter
8. Stamped, Self-Addressed Postcard (Control No. F0RLLK)
9. Certificate of Express Mailing #EM371812306US, Dated April 7, 1999

Please indicate on the enclosed postcard the date of receipt of the enclosed materials and mail this prepaid postcard to acknowledge receipt of this transmittal.

Very truly yours,

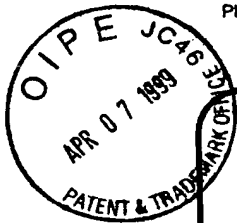
DISCOVISION ASSOCIATES

  
Donald Bollella  
Supervising Patent Attorney

DB:jb

Enclosures

\\TCPSERV01\GRPDIRS\PATPROSE\MMI\951028--(US)USC1X1X1D1\PTOCVR.L0.DOC



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (12-97)  
Approved for use through 9/30/00. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	08/485,070
	Filing Date	June 7, 1995
	First Named Inventor	Kurt W. Getreuer
	Group Art Unit	2752
	Examiner Name	Dinh, Tan
Total Number of Pages in This Submission	Attorney Docket Number 951028(US)USC1X1X1D1MMMI	

RECEIVED

APR 13 1999

Group 2700

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return Receipt Postcard;
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	Cover Letter
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	See Remarks
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Amendment Transmittal Letter	
	Transmittal Form	

RECEIVED

APR 13 1999

Group 2700

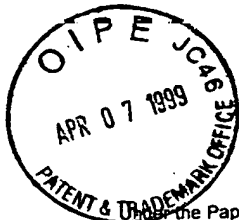
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Donald Bollella, Reg. No. 35,451
Signature	<i>Donald Bollella</i>
Date	April 7, 1999

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/>		
Typed or printed name	<input type="text"/>	
Signature	<input type="text"/>	Date <input type="text"/>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (12-97)  
Approved for use through 9/30/00. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

RECEIVED

## FEE TRANSMITTAL

Note: Effective October 1, 1997.  
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ \$1,360.00

### Complete if Known

Application Number 08/485,070  
Filing Date June 7, 1995  
First Named Inventor Kurt W. Getreuer  
Group Art Unit 2752  
Examiner Name Dinh, Ian  
Attorney Docket Number 951028(US)USC1X1X1D1 MIMI

APR 13 1999  
Group 2700

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number  
Deposit  
Account  
Name

04-1175

DISCOVISION ASSOCIATES

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 790	201 395	Utility filing fee	
106 330	206 165	Design filing fee	
107 540	207 270	Plant filing fee	
108 790	208 395	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$00.00

#### 2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
Independent Claims	-20 =	X	
Multiple Dependent Claims	-3 =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 22	203 11	Claims in excess of 20	
102 82	202 41	Independent claims in excess of 3	
104 270	204 135	Multiple dependent claim	
109 82	209 41	Reissue independent claims over original patent	
110 22	210 11	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 00.00

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 950	217 475	Extension for reply within third month	
118 1,510	218 755	Extension for reply within fourth month	1,360.00
128 2,060	228 1,030	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,320	241 660	Petition to revive - unintentional	
142 1,320	242 660	Utility issue fee (or reissue)	
143 450	243 225	Design issue fee	
144 670	244 335	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 790	246 395	Filing a submission after final rejection (37 CFR 1.129(a))	
149 790	249 395	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1,360.00

### SUBMITTED BY

Typed or Printed Name Donald Bollella,

Signature

*Donald Bollella*

Date

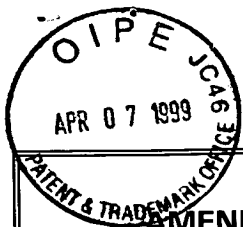
4/7/99

### Complete (if applicable)

Reg. Number 35,451

Deposit Account User ID

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**AMENDMENT TRANSMITTAL LETTER**

Attorney Docket No.

951028(US)USC1X1X1D1 MMTI

**RECEIVED****APR 13 1999****Group 2700**SERIAL NO.  
08/485,070FILING DATE  
June 7, 1995EXAMINER  
Dinh, TanGroup Art Unit  
2752**INVENTION****METHOD AND APPARATUS FOR MOVING CARRIAGE ASSEMBLY FROM INITIAL POSITION TO TARGET POSITION AND OPTICAL DISC SYSTEM INCLUDING SAME (AS AMENDED)**

TO THE ASSISTANT COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is an amendment in the above-identified application. The fee has been calculated as shown below.

**CLAIMS AS AMENDED**

Large Entity

(1)	(2) Claims Remaining After Amendment	(3)	(4) Highest No. Previously Paid for	(5) No. of Extra Claims Present	(6) RATE	(7) FEE CODES	(8) ADDITIONAL FEE
Total Claims	* 56	Minus	** 56 =	0	X \$18	103	= 0
Indep. Claims	* 4	Minus	*** 4 =	0	X \$78	102	= 0
Multiple Dep. Claims	0	Minus	3	0	\$260	104	= 0

TOTAL ADDITIONAL FEE  
FOR THIS AMENDMENT

\$

0

- \* If the entry in column 2 is less than the entry in column 4, write "0" in column 5.  
\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.  
\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

( X ) No additional fee is required.

( ) A check in amount of \$ \_\_\_\_ .00 is attached.

( X ) Please charge any additional fees or credit overpayment to Deposit Account No. 04-1175. The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 04-1175. A duplicate of this sheet is enclosed.

( X ) Any patent application processing fees under 37 CFR 1.17.

( X ) Any filing fees under 37 CFR 1.16 for presentation of extra claims.

April 7, 1999

*Donald Bollella*  
Donald Bollella  
Registration No. 35,451  
DISCOVISION ASSOCIATES  
P.O. Box 19616  
Irvine, California 92623  
Phone: (949) 660-5000